

OFFICIAL



Australian Government
Sport Integrity Australia



SPORT INTEGRITY
AUSTRALIA



NATIONAL INTEGRITY FRAMEWORK

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Policy to be reviewed by Sport Integrity Australia
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1. Background

1.1 Introduction

- (a) The Australian Underwater Federation National Integrity Framework applies to all Activities organised or authorised by Australian Underwater Federation or a Member Organisation and will be adopted in full by each Sport Organisation.
- (b) Sports integrity means the manifestation of the ethics and values that promote community confidence in sport. Threats to the integrity of sport includes the:
 - (i) manipulation of sporting competitions;
 - (ii) improper use of drugs and medicine in sport;
 - (iii) abuse of children and other persons in a sporting environment; and
 - (iv) failure to protect Members and other persons in a sporting environment, from bullying, intimidation, discrimination, or harassment.
- (c) The Australian Underwater Federation seeks to take a proactive approach to mitigate the integrity threats to Sport in Australia and to provide a safe, fair, and trustworthy environment for all Participants at all levels of Sport.
- (d) The National Integrity Framework is one of Australian Underwater Federation's responses to the threats to the integrity of Sport and sets out the broad expectations for the conduct of all Participants in Sport, including procedures for managing, reporting, investigating and determining potential breaches of its Integrity Policies.

1.2 Definitions

In the National Integrity Framework, the following words have the corresponding meaning:

Activity means a Sport contest, match, competition, event, or activity (including training), whether on a one-off basis or as part of a series, league, or competition, sanctioned or organised by the Australian Underwater Federation or a Sport Organisation.

Administrators – see 'Participant'.

Athletes – see 'Participant'.

Authorised Provider – see 'Relevant Organisation'.

Board means the board of the Australian Underwater Federation

CEO means the chief executive officer of Australian Underwater Federation as appointed from time to time.

Child or **Children** means a child or young person, or two or more children or young persons, who is or are under the age of 18 years.

Club – see 'Relevant Organisation'.

Coaches – see 'Participant'.

Complaints, Disputes & Discipline Policy (CDPP) means the policy adopted by the Australian Underwater Federation under this Framework, for the handling and resolution of Complaints regarding Prohibited Conduct.

Contractor means any person or organisation engaged to provide services for or on behalf of the Australian Underwater Federation or a Sport Organisation, and includes agents, advisers, and subcontractors of the Australian Underwater Federation or a Sport Organisation and employees, officers, volunteers, and agents of a contractor or subcontractor.

Disciplinary Measures means any Provisional Action taken or Sanction imposed under the Complaints, Disputes and Discipline Policy, as defined in that policy.

Employee means a person employed by Australian Underwater Federation or a Sport Organisation.

Framework means this National Integrity Framework document, including any schedules and annexures.

Integrity Policy means the following Australian Underwater Federation sports integrity-related policies adopted under this Framework:

- (a) This Framework document;
- (b) Child Safeguarding Policy;
- (c) Competition Manipulation and Sport Wagering Policy;
- (d) Improper Use of Drugs and Medicine Policy;
- (e) Member Protection Policy; and
- (f) Complaints, Disputes and Discipline Policy.

Individual Member – see ‘Member’.

Integrity Unit means the Australian Underwater Federation's national integrity unit, as established under clause 5.1.

Member means a member of the Australian Underwater Federation or a Sport Organisation under its constitution, including:

- (a) **Member Organisations**, which means each company or incorporated association that is a member of the Australian Underwater Federation including each:
 - (i) State, territory, and club Member; and
 - (ii) affiliate that is a member of a State and Territory Member.
- (b) **Individual Members**, which means individuals who are individuals registered with a Member Organisation

Member Organisations – see ‘Member’.

National Integrity Manager means the person responsible for Australian Underwater Federation's Integrity Unit and for the implementation, management, reporting and review of this Framework.

Officials – see ‘Participant’.

Participant means:

- (a) Athletes who are registered with or entitled to participate in a Sport Organisation or an Australian Underwater Federation Activity
- (b) Coaches appointed to train an Athlete or Team in a Sport Organisation or Australian Underwater Federation Activity
- (c) Administrators who have a role in the administration or operation of a Sport Organisation or the Australian Underwater Federation including owners, directors, committee members or other persons
- (d) Officials including referees, umpires, technical officials, or other officials appointed by a Sport Organisation, Australian Underwater Federation or any league, competition, series, Club or Team sanctioned by the Australian Underwater Federation.
- (e) Support Personnel who are appointed in a professional or voluntary capacity by a Sport Organisation, Australian Underwater Federation or any league, competition, series, Club or Team sanctioned by the

Australian Underwater Federation including sports science sports medicine personnel, team managers, agents, selectors, and team staff members.

Prohibited Conduct means the conduct proscribed by this Framework and the Integrity Policies.

Relevant Organisation means any of the following organisations:

- (a) Australian Underwater Federation
- (b) **Member Organisation** – see ‘Member’.
- (c) **Sport Organisation**, which means and includes:
 - (i) **Member Organisations** - see ‘Member’;
 - (ii) **Clubs**, which means any club that enters a Team to participate in an Activity; and
 - (iii) **Authorised Providers**, which means any non-Member organisations authorised to conduct Activities sanctioned by the Australian Underwater Federation or a Member Organisation;
- (d) **Team**, which means a collection or squad of athletes who compete and/or train in Sport and/or the Activity; and
- (e) Any other organisation who has agreed to be bound by this Framework/the Integrity Policies.

Relevant Person means any of the following persons:

- (a) **Individual Member** – see ‘Member’;
- (b) **Participant**;
- (c) **Employee**;
- (d) **Contractor**;
- (e) **Volunteer**, which means any person engaged by the Australian Underwater Federation or a Sport Organisation in any capacity who is not otherwise an Employee or Contractor, including directors and office holders, coaches, officials, administrators and team and support personnel; and
- (f) Any other individual who has agreed to be bound by this Framework/the Integrity Policies.

Sport means all the sports that are governed by the Australian Underwater Federation and CMAS from time to time.

Sport Organisation – see ‘Relevant Organisation’.

Support Personnel – see ‘Participant’.

Team – see ‘Relevant Organisation’.

Volunteer – see ‘Relevant Person’.

2. Jurisdiction

2.1 Who the Framework applies to

- (a) This Framework applies to and binds all Relevant Persons and Relevant Organisations as set out in the Integrity Policies.
- (b) Employees are expected to abide by the terms of this Framework as a reasonable and lawful direction of the Australian Underwater Federation or the Sport Organisation they are employed by (as relevant) as their employer.

- (c) The Australian Underwater Federation and Sport Organisations must ensure that all Contractors and Volunteers are contractually bound to abide by the terms of this Framework.
- (d) By participating in an Activity, a Participant is deemed to have agreed to be bound by the Framework.
- (e) Any person or organisation who:
 - (i) has had a complaint made against them under the Complaints, Disputes and Discipline Policy; and
 - (ii) was bound by the Framework at the time the complaint was made or when they became aware that a complaint may be made;
 - (iii) would, for any reason, otherwise have ceased to be bound by this Framework at any time after the complaint was made or when they became aware that the complaint may be made,
 remains bound by the Framework in respect of the complaint and any related complaint until the complaints process has been finalised in accordance with the Complaints, Disputes and Discipline Policy.

3. Scope

3.1 Scope

- (a) The National Integrity Framework comprises this Framework document and the Integrity Policies.
- (b) Nothing in this Framework limits the rights or obligations of any person under any other the Australian Underwater Federation policy, code of conduct or other relevant agreement.
- (c) This Framework does not override or limit the application of any laws of Australia or a state/territory.
- (d) The 'Summary' at the start of each Integrity Policy is not intended to be and should not be construed in any way as a complete and comprehensive overview of the relevant Integrity Policy. To the extent of any inconsistency, the operative provisions of the relevant Integrity Policy prevail.

4. Prohibited Conduct

4.1 Prohibited Conduct

In addition to the Prohibited Conduct proscribed by the Integrity Policies, a Relevant Person or Relevant Organisation commits a breach of this Framework when they:

- (a) fail to report any Prohibited Conduct, as defined under this Framework or an Integrity Policy, to Sport Integrity Australia (or otherwise in accordance with the requirements of the relevant Integrity Policy) as soon as reasonably practicable;
- (b) deliberately or wilfully withhold information in relation to any possible Prohibited Conduct;
- (c) fail to provide further information or documentation as requested as part of a Complaint Process under this Framework, including a failure to fully and in good faith participate in an interview;
- (d) fail to comply with or enforce Disciplinary Measures imposed under the Complaints, Disputes and Discipline Policy; or
- (e) knowingly provide any inaccurate and/or misleading information during the course of any investigation or proceedings under this Framework.

4.2 Additional matters

- (a) Where conduct may constitute 'Prohibited Conduct' under this Framework or any Integrity Policy but is a Protected Disclosure with respect to the Australian Underwater Federation it must be dealt with under Australian Underwater Federation's whistle-blower policy.
- (b) The Australian National Anti-Doping Policy will prevail to the extent of any inconsistency with this Framework in all instances. Any allegation relating to a breach or possible breach of Australian National Anti-Doping Policy will be dealt with under that policy.
- (c) Nothing in this Framework or the Integrity Policies prevents the the Australian Underwater Federation Board from referring any alleged Prohibited Conduct or criminal conduct to a relevant law enforcement agency.

5. Australian Underwater Federation's Responsibility to Manage Framework

5.1 Responsibility for the management of the Framework

- (a) The Australian Underwater Federation will ensure that it has an Integrity Unit¹, headed by a National Integrity Manager who shall report, directly or indirectly, to the Board.
- (b) The National Integrity Manager is responsible for the implementation, management, reporting and review of this Framework within the Australian Underwater Federation.
- (c) The Australian Underwater Federation will ensure that it appoints a Complaints Manager² for the purposes of the Complaints, Disputes and Discipline Policy.

5.2 National Integrity Manager

The National Integrity Manager will:

- (a) be responsible for the supervision and administration of this Framework, the Integrity Policies and the associated education programs;
- (b) monitor the compliance of any Sanctions;
- (c) act in a professional, discreet, and confidential manner in undertaking the obligations of their role under this Framework;
- (d) be responsible for ensuring that this Framework and the Integrity Policies are regularly reviewed, and any required amendments are approved by the Australian Underwater Federation Board; and
- (e) will provide the Australian Underwater Federation Board with regular reports of:
 - (i) information relating to Alleged Breaches and Prohibited Conduct under the Integrity Policies;
 - (ii) the operation of and overall compliance with the Integrity Policies; and
 - (iii) any education programs that Participants have been required to undertake.

¹ The size of the Integrity Unit is to be determined by the AUSTRALIAN UNDERWATER FEDERATION based on the volume, nature, and seriousness of integrity issues within its sport and available financial resources. For the avoidance of doubt, the Integrity Unit may, if appropriate, solely comprise the National Integrity Manager.

² AUF may appoint the same person to be both the Complaints Manager and the National Integrity Manager.

5.3 Complaints Manager

The Complaints Manager will be the point of contact between the Australian Underwater Federation and Sport Integrity Australia in relation to the functions of the Complaints, Disputes and Discipline Policy and will have such responsibilities as set out in that policy.

5.4 Reporting to Sport Integrity Australia

The Australian Underwater Federation must ensure that they report all matters to Sport Integrity Australia as required by the Integrity Policies, which includes:

- (a) any alleged Prohibited Conduct or criminal conduct that the Australian Underwater Federation has referred/reported to a relevant law enforcement agency;
- (b) any information required to be reported or notified to Sport Integrity Australia under the Competition Manipulation and Sports Wagering Policy; and
- (c) the outcome of any Resolution Process under the Complaints, Disputes and Discipline Policy.

5.5 Education

- (a) With the support of Sport Integrity Australia, the Australian Underwater Federation will plan, implement, and maintain an education strategy that incorporates material addressing the matters covered by each Integrity Policy.
- (b) The National Integrity Manager will, from time to time, direct certain Participants to undertake education programs, which will be relevant and proportionate to their level of participation in Sport and the associated integrity risks.

5.6 Recruitment of Employees and Volunteers

- (a) The Australian Underwater Federation and Sport Organisations should conduct any appropriate background checks required by an Integrity Policy for prospective Employees, Contractors and Volunteers to screen for prior conduct that would constitute a breach of this Framework or the Integrity Policies.
- (b) The Australian Underwater Federation and Sport Organisations should undertake induction processes for Employees, Contractors and Volunteers that incorporate familiarisation with this Framework and the Integrity Policies, and other sports integrity education and training as determined by the Australian Underwater Federation from time to time.

6. Additional Responsibilities

6.1 Relevant Organisation responsibilities

In addition to that required under the Integrity Policies, the Australian Underwater Federation and Member Organisations shall:

- (a) implement and comply with this Framework;
- (b) ensure that all other policies, rules, and programs that apply to Relevant Persons and Relevant Organisations are consistent with this Framework;
- (c) use its best efforts to assist Relevant Persons and Relevant Organisations to fulfil their responsibilities under this Framework;
- (d) publish, distribute, and promote this Framework and the Integrity Policies (and any updates from time to time) and shall be responsible for making such documents available and accessible to Relevant Persons and Relevant Organisations; and
- (e) ensure its Employees and contractors act in a discreet and confidential manner in discharging their obligations under this Framework.

- (f) recognise any Sanction imposed under this Framework;
- (g) take all necessary steps to enforce any Sanction imposed under this Framework;
- (h) assist in any investigation or proceedings regarding any Prohibited Conduct and ensure that they do not knowingly provide any inaccurate and/or misleading information during the course of any investigation or proceedings.

6.2 Relevant Person responsibilities

In addition to that required under the Integrity Policies, Relevant Persons shall:

- (a) make themselves aware of the contents of this Framework;
- (b) comply with all relevant provisions of the Framework;
- (c) comply with any decisions and/or Sanctions imposed under the Framework.
- (d) undertake sports integrity education as directed by the National Integrity Manager;
- (e) assist in any investigation or proceedings regarding any Prohibited Conduct and ensure that they do not knowingly provide any inaccurate and/or misleading information during the course of any investigation or proceedings.

7. Complaints, Disputes & Discipline Policy

The Complaints, Disputes and Discipline Policy applies to any alleged Prohibited Conduct, including reports of breaches, of this Framework or any Integrity Policy.

8. Interpretation & Other Information

8.1 Application and Commencement

- (a) This Framework is approved by the Board.
- (b) This Framework:
 - (i) commences on the date outlined on the front cover (**Commencement Date**);
 - (ii) is subject to Australian Underwater Federation's constitution and if there is any inconsistency, the constitution will prevail; and
 - (iii) when in force, is binding on all those listed in clause 2.1.

8.2 Amendment

- (a) The Board may amend this Framework and the Integrity Policies from time to time at the direction of Sport Integrity Australia and such amendments will be effective on the date specified by the Board.
- (b) Member Organisations must adopt this Framework, including any amendments, in full and without amendment, as a policy under their constitution, within three (3) months of the date it is adopted by the Australian Underwater Federation

8.3 Inconsistency

This Framework applies to each Integrity Policy. When interpreting an Integrity Policy, any provisions of that Integrity Policy inconsistent with this Framework apply to the extent of that inconsistency.

8.4 Interpretation

The following rules of interpretation apply to the Framework and each Integrity Policy:

- (a) Headings are for convenience only and shall not be deemed part of the substance of the document or to affect in any way the language of the provisions to which they refer.
- (b) Words in the singular include the plural and vice versa.
- (c) Reference to 'including' and similar words are not words of limitation.
- (d) Words importing a gender include any other gender.
- (e) A reference to a clause is a reference to a clause or subclause of this Framework.
- (f) Where a word or phrase is given a particular meaning, other parts of speech and grammatical forms of that word or phrase have corresponding meanings.
- (g) In the event any provision of this Framework is determined invalid or unenforceable, the remaining provisions shall not be affected, and the document shall not fail because any part of it is held invalid.
- (h) Except as otherwise stated herein, failure to exercise or enforce any right conferred by this Framework shall not be deemed to be a waiver of any such right nor operate to bar the exercise or enforcement thereof or of any other right on any other occasion;
- (i) Defined terms are Capitalised and consistent across the Framework/Integrity Policies.